

# An introduction to heterotic mirror symmetry

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I'll begin today by reminding us all of ordinary mirror symmetry.

Most basic incarnation:

String theory on a Calabi-Yau  $X$

= String theory on a Calabi-Yau  $Y$

Ex:  $X =$  quintic threefold,  $\mathbb{P}^4[5]$        $Y = \widetilde{\mathbb{P}^4[5]/\mathbb{Z}_5^3}$

$$\dim(X) = \dim(Y)$$

Relates Hodge numbers:  $h^{p,q}(X) = h^{p,n-q}(Y)$

Also swaps perturbative & nonpert' corrections:  
made computing GW invariants easy.

Plan for today:

Outline a generalization of mirror symmetry,  
(involving heterotic strings,)   
that is perhaps not so well-known.

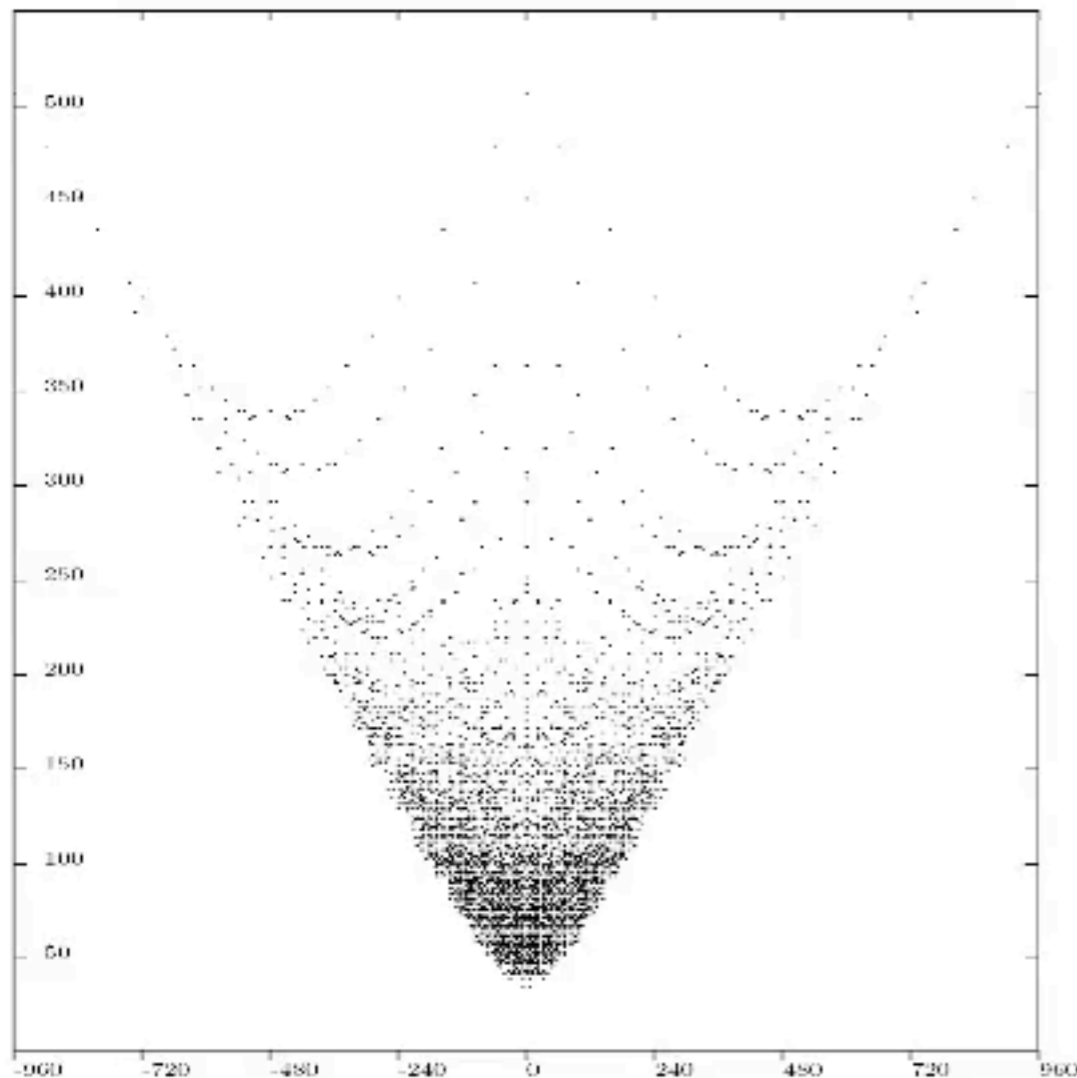
- Brief review of ordinary mirrors, then heterotic analogues
- Some other more exotic dualities
- Heterotic version of quantum cohomology: *quantum sheaf cohomology*

Let's quickly review some of the reasons physicists believe in  
and think about mirror symmetry,  
en route to talking about the `heterotic' generalization.

Some of the original checks.....

# Numerical checks of mirror symmetry

Plotted below are data for a large number of Calabi-Yau 3-folds.



Vertical axis:  $h^{1,1} + h^{2,1}$

Horizontal axis:  $2(h^{1,1} - h^{2,1})$   
 $= 2 (\# \text{ Kahler} - \# \text{ cpx def's})$

Mirror symmetry  
exchanges  $h^{1,1} \longleftrightarrow h^{2,1}$   
 $\implies$  symm' across vert' axis

(Klemm, Schimmrigk, NPB 411 ('94) 559-583)

# Constructions of mirror pairs

One of the original methods:  
in special cases, can quotient by a symmetry group.  
“Greene-Plesser orbifold construction”

(Greene-Plesser '90)

Example: quintic

$$Q_5 \subset \mathbb{P}^4 \xleftrightarrow{\text{mirror}} \widetilde{Q_5 / \mathbb{Z}_5^3}$$

More general methods exist....

# Constructions of mirror pairs

Batyrev's construction:

For a hypersurface in a toric variety,  
mirror symmetry exchanges

polytope of  
ambient  
toric variety

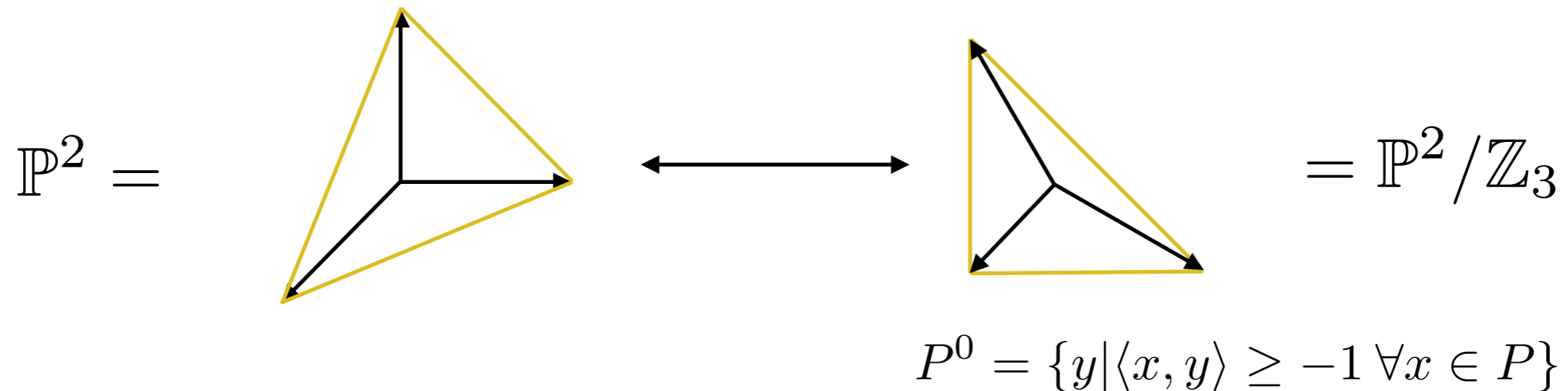


dual polytope  
for ambient t.v.  
of mirror

# Constructions of mirror pairs

Example of Batyrev's construction:

$T^2$  as degree 3 hypersurface in  $\mathbb{P}^2$



Result:

degree 3 hypersurface in  $\mathbb{P}^2$ ,

mirror to

$\mathbb{Z}_3$  quotient of degree 3 hypersurface

(matching Greene-Plesser '90)



Ordinary mirror symmetry is pretty well understood nowadays.

- lots of constructions
- both physics and math proofs

Givental / Yau et al in math

Morrison-Plesser / Hori-Vafa in physics

However, there are some extensions of mirror symmetry that are still being actively studied.....

Ordinary mirror symmetry is a property of type II strings, or worldsheets with “(2,2) supersymmetry.”

It is also believed to apply to **heterotic** strings, whose worldsheets have “(0,2) supersymmetry.”

(2,2): specified, in simple cases, by a Kahler mfld  $X$

(0,2): specified, in the same simple cases,  
by a Kahler manifold  $X$

together with a holomorphic bundle  $\mathcal{E} \rightarrow X$

such that

$$\text{ch}_2(\mathcal{E}) = \text{ch}_2(TX)$$

(Recover (2,2) in special case that  $\mathcal{E} = TX$ .)

Heterotic aka (0,2) mirror symmetry involves bundles + spaces.

Analogues of topological field theories:

True TFT's based on (0,2) theories do not exist,  
**but,**

there do exist pseudo-topological field theories with closely related properties, at least in special cases.

A/2 model: Exists when  $\det \mathcal{E}^* \cong K_X$

States counted by  $H^\bullet(X, \wedge^\bullet \mathcal{E}^*)$

Reduces to A model on (2,2) locus ( $\mathcal{E} = TX$ )

B/2 model: Exists when  $\det \mathcal{E} \cong K_X$

States counted by  $H^\bullet(X, \wedge^\bullet \mathcal{E})$

Reduces to B model on (2,2) locus ( $\mathcal{E} = TX$ )

$$A/2(X, \mathcal{E}) \cong B/2(X, \mathcal{E}^*)$$

**(0,2) mirror symmetry**

**( (0,2) susy )**

How should this work?

Nonlinear sigma models with (0,2) susy defined by space  $X$ , with hol' vector bundle  $E \rightarrow X$

(0,2) mirror defined by space  $Y$ , w/ bundle  $F$ .

$$\dim X = \dim Y$$

$$\text{rk } E = \text{rk } F$$

$$A/2(X, E) = B/2(Y, F)$$

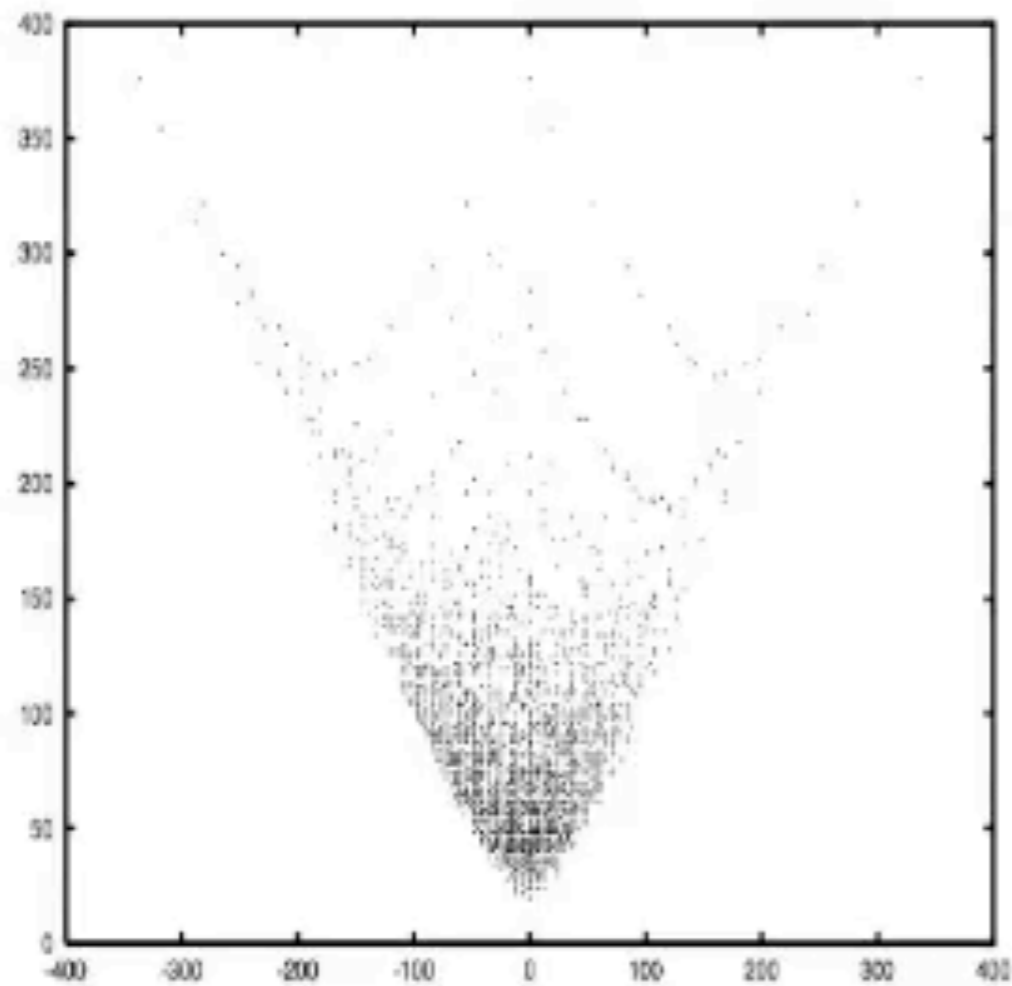
$$H^p(X, \wedge^q E^*) = H^p(Y, \wedge^q F)$$

$$(\text{moduli}) = (\text{moduli})$$

When  $E=TX$ , should reduce to ordinary mirror symmetry.

# (0,2) mirror symmetry

Not as much known about heterotic/(0,2) mirror symm',  
though a few basics have been worked out.



Example: numerical  
evidence

Horizontal:  $h^1(\mathcal{E}) - h^1(\mathcal{E}^*)$

Vertical:  $h^1(\mathcal{E}) + h^1(\mathcal{E}^*)$

where  $\mathcal{E}$  is rk 4

(Blumenhagen, Schimmrigk, Wiskirchen,  
NPB 486 ('97) 598-628)

## (0,2) mirror symmetry

## ( (0,2) susy )

Constructions include:

- [Blumenhagen-Sethi '96](#) extended Greene-Plesser orbifold construction to (0,2) models — handy but only gives special cases
- [Adams-Basu-Sethi '03](#) repeated [Hori-Vafa-Morrison-Plesser](#)-style GLSM duality in (0,2)
  - but results must be supplemented by manual computations;(0,2) version does not straightforwardly generate examples

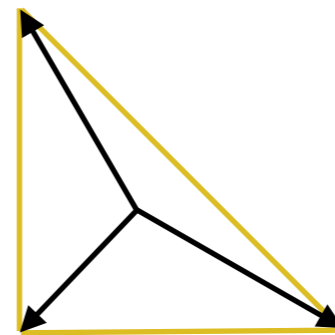
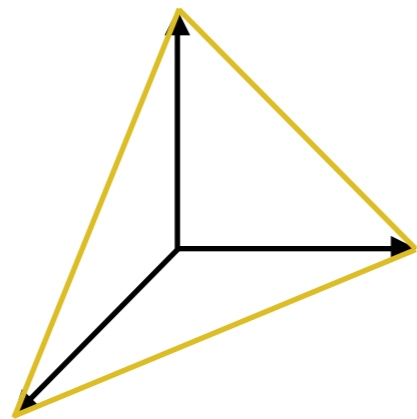
More recent progress includes a version of Batyrev's construction....

# (0,2) mirror symmetry

# ( (0,2) susy )

- **Melnikov-Plesser '10** extended Batyrev's construction & monomial-divisor mirror map to include def's of tangent bundle, for special ('reflexively plain') polytopes

Dualize  
polytopes  
as before:



$$P^0 = \{y | \langle x, y \rangle \geq -1 \forall x \in P\}$$

& encode  
tangent bundle def's  
in a matrix:

$$A \longleftrightarrow A^T$$

Progress, but still don't have a general construction.

Now let's turn to a few other dualities,  
which may or may not be related....



# Gauge bundle dualization duality ( (0,2) susy )

(Nope, not a typo.....)

Nonlinear sigma models with (0,2) susy defined by space  $X$ , with hol' vector bundle  $E \rightarrow X$

Duality:  $\text{CFT}(X, E) = \text{CFT}(X, E^*)$

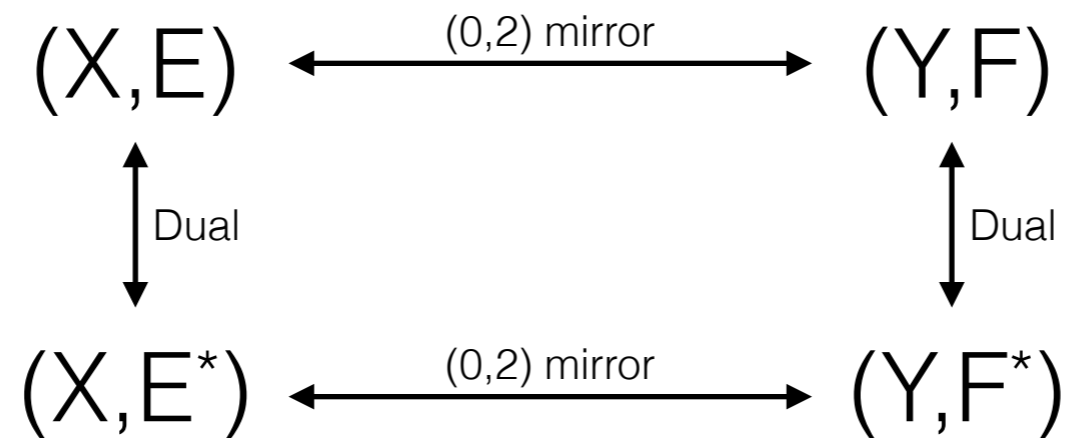
ie, replacing the bundle with its dual  
is an invariance of the theory.

# Gauge bundle dualization duality

( (0,2) susy )

How is this related to (0,2) mirrors?

Maybe orthogonal:



On the other hand,

both exchange  $A/2$ ,  $B/2$  models, both flip sign of left  $U(1)$ ...

...maybe it's also a sort of (0,2) mirror.

More exotic variations....

# Triality

# ( (0,2) susy )

(Gadde-Gukov-Putrov '13-'14)

It has been proposed that *triples* of certain (0,2) theories might be equivalent.

Gauge bundle  $\longrightarrow$  Target space

$$S^A \oplus (Q^*)^{2k+A-n} \oplus (\det S^*)^2 \longrightarrow G(k, n)$$

$$S^{2k+A-n} \oplus (Q^*)^n \oplus (\det S^*)^2 \longrightarrow G(n-k, A)$$

$$S^n \oplus (Q^*)^A \oplus (\det S^*)^2 \longrightarrow G(A-n+k, 2k+A-n)$$

are conjectured to all be equivalent, for  $n, k, A$  such that the geometries above are all sensible.

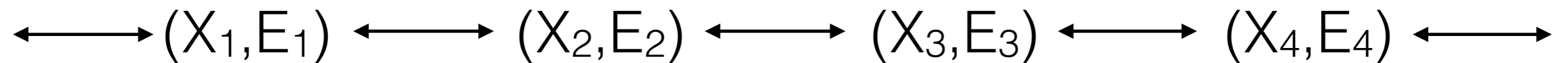
Moving on....

# Triality

( (0,2) susy )

How is this related to (0,2) mirrors?

Maybe notion of (0,2) mirrors is richer,  
& more variations exist to be found:



Triality seems to be in this spirit.

So far I've outlined  $(0,2)$  mirrors and some possibly related dualities.

Next: analogue of curve counting, Gromov-Witten....

# Review of quantum sheaf cohomology

Quantum sheaf cohomology is the heterotic version of quantum cohomology — defined by space + bundle.

(Katz-ES '04, ES '06, Guffin-Katz '07, ....)

On the (2,2) locus, where bundle = tangent bundle, encodes Gromov-Witten invariants.

Off the (2,2) locus, Gromov-Witten inv'ts no longer relevant.

Mathematical GW computational tricks no longer apply.

No known analogue of periods, Picard-Fuchs equations.

New methods needed....

... and a few have been developed.

(A Adams, J Distler, R Donagi, J Guffin, S Katz, J McOrist, I Melnikov, R Plesser, ES, ....)

## Minimal area surfaces:

standard case (“type II strings”)

*Schematically:* For  $X$  a space,

$\mathcal{M}$  a space of holomorphic  $S^2 \rightarrow X$

we compute a “correlation function” in A model TFT

$$\langle \mathcal{O}_1 \cdots \mathcal{O}_k \rangle = \int_{\mathcal{M}} \omega_1 \wedge \cdots \wedge \omega_k$$

where  $\mathcal{O}_i \sim \omega_i \in H^{p_i, q_i}(\mathcal{M})$

$$= \int_{\mathcal{M}} (\text{top form on } \mathcal{M})$$

which encodes minimal area surface information.

Such computations are at the heart of Gromov-Witten theory.

# Minimal area surfaces:

heterotic case

*Schematically:* For  $X$  a space,  $\mathcal{E}$  a bundle on  $X$ ,  
 $\mathcal{M}$  a space of holomorphic  $S^2 \rightarrow X$

$$\langle \mathcal{O}_1 \cdots \mathcal{O}_k \rangle = \int_{\mathcal{M}} \tilde{\omega}_1 \wedge \cdots \wedge \tilde{\omega}_k$$

where  $\mathcal{O}_i \sim \tilde{\omega}_i \in H^{q_i}(\mathcal{M}, \wedge^{p_i} \mathcal{F}^*)$

$\mathcal{F}$  = sheaf of 2d fermi zero modes over  $\mathcal{M}$

anomaly cancellation  $\xrightarrow{\text{GRR}} \wedge^{\text{top}} \mathcal{F}^* \cong K_{\mathcal{M}}$

hence, again,

$$= \int_{\mathcal{M}} (\text{top form on } \mathcal{M})$$

(S Katz, ES, 2004)

This computation takes place in “A/2 model,” a pseudo-topological field theory.



Correlation functions are often usefully encoded in  
`operator products' (OPE's).

*Physics:* Say  $\mathcal{O}_A \mathcal{O}_B = \sum_i \mathcal{O}_i$  ("operator product")

if all correlation functions preserved:

$$\langle \mathcal{O}_A \mathcal{O}_B \mathcal{O}_C \cdots \rangle = \sum_i \langle \mathcal{O}_i \mathcal{O}_C \cdots \rangle$$

*Math:* if interpret correlation functions as maps

$$\text{Sym}^\bullet W \longrightarrow \mathbb{C}$$

(where  $W$  is the space of  $\mathcal{O}$ 's)

then OPE's are the kernel, of form  $\mathcal{O}_A \mathcal{O}_B - \sum_i \mathcal{O}_i$

## Examples:

Ordinary (“type II”) case:

$$X = \mathbb{P}^1 \times \mathbb{P}^1 \quad W = H^{1,1}(\mathbb{P}^1 \times \mathbb{P}^1) \cong \mathbb{C}^2 = \mathbb{C}\{\psi, \tilde{\psi}\}$$

$$\text{OPE's:} \quad \psi^2 = q, \quad \tilde{\psi}^2 = \tilde{q}$$

where  $q, \tilde{q} \sim \exp(-\text{area})$   
 $\longrightarrow 0$  in classical limit

Looks like a deformation of cohomology ring,  
hence called “quantum cohomology”

## Examples:

Ordinary ("type II") case:  $X = \mathbb{P}^1 \times \mathbb{P}^1$

$$\text{OPE's: } \psi^2 = q, \quad \tilde{\psi}^2 = \tilde{q}$$

Heterotic case:

$$X = \mathbb{P}^1 \times \mathbb{P}^1 \quad \mathcal{E} \text{ a deformation of } T(\mathbb{P}^1 \times \mathbb{P}^1)$$

$$\text{Def'n of } \mathcal{E}: \quad 0 \longrightarrow W^* \otimes \mathcal{O} \xrightarrow{*} \mathcal{O}(1,0)^2 \oplus \mathcal{O}(0,1)^2 \longrightarrow \mathcal{E} \longrightarrow 0$$

$$\text{where } * = \begin{bmatrix} Ax & Bx \\ C\tilde{x} & D\tilde{x} \end{bmatrix} \quad \begin{array}{l} A, B, C, D \text{ const' } 2 \times 2 \text{ matrices} \\ x, \tilde{x} \text{ vectors of homog' coord's} \end{array}$$

$$\text{Here, } W = H^1(X, \mathcal{E}^*) = \mathbb{C}^2 = \mathbb{C}\{\psi, \tilde{\psi}\}$$

$$\text{OPE's: } \det \left( A\psi + B\tilde{\psi} \right) = q, \quad \det \left( C\psi + D\tilde{\psi} \right) = \tilde{q}$$

$$\text{Check: } \mathcal{E} = TX \quad \text{when } A = D = I_{2 \times 2}, \quad B = C = 0$$

& in this limit, OPE's reduce to those of ordinary case

*quantum sheaf cohomology*

# Review of quantum sheaf cohomology

To make this more clear, let's consider an

Example: classical sheaf cohomology on  $\mathbb{P}^1 \times \mathbb{P}^1$

with gauge bundle  $E$  a deformation of the tangent bundle:

$$0 \rightarrow W^* \otimes \mathcal{O} \xrightarrow{*} \underbrace{\mathcal{O}(1,0)^2 \oplus \mathcal{O}(0,1)^2}_{Z^*} \rightarrow E \rightarrow 0$$

where  $* = \begin{bmatrix} Ax & Bx \\ C\tilde{x} & D\tilde{x} \end{bmatrix}$   $x, \tilde{x}$  homog' coord's on  $\mathbb{P}^1$ 's

and  $W = \mathbb{C}^2$

Operators counted by  $H^1(E^*) = H^0(W \otimes \mathcal{O}) = W$

n-pt correlation function is a map  $\text{Sym}^n H^1(E^*) = \text{Sym}^n W \rightarrow H^n(\wedge^n E^*)$

OPE's = kernel

Plan: study map corresponding to classical corr' f'n

# Review of quantum sheaf cohomology

Example: classical sheaf cohomology on  $\mathbb{P}^1 \times \mathbb{P}^1$

with gauge bundle  $E$  a deformation of the tangent bundle:

$$0 \rightarrow W^* \otimes \mathcal{O} \xrightarrow{*} \underbrace{\mathcal{O}(1,0)^2 \oplus \mathcal{O}(0,1)^2}_{Z^*} \rightarrow E \rightarrow 0$$

where  $* = \begin{bmatrix} Ax & Bx \\ C\tilde{x} & D\tilde{x} \end{bmatrix}$   $x, \tilde{x}$  homog' coord's on  $\mathbb{P}^1$ 's

and  $W = \mathbb{C}^2$

Since this is a rk 2 bundle, classical sheaf cohomology defined by products of 2 elements of  $H^1(E^*) = H^0(W \otimes \mathcal{O}) = W$ .

So, we want to study map  $H^0(\text{Sym}^2 W \otimes \mathcal{O}) \rightarrow H^2(\wedge^2 E^*) = \text{corr}' \text{ f'n}$

This map is encoded in the resolution

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

# Review of quantum sheaf cohomology

Example: classical sheaf cohomology on  $\mathbb{P}^1 \times \mathbb{P}^1$

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

Break into short exact sequences:

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow S_1 \rightarrow 0$$

$$0 \rightarrow S_1 \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

Examine second sequence:

$$\text{induces } \begin{array}{ccccccc} H^0(Z \otimes W) & \rightarrow & H^0(\text{Sym}^2 W \otimes \mathcal{O}) & \xrightarrow{\delta} & H^1(S_1) & \rightarrow & H^1(Z \otimes W) \\ \searrow & & & & & & \searrow \\ & & 0 & & & & 0 \end{array}$$

Since  $Z$  is a sum of  $\mathcal{O}(-1,0)$ 's,  $\mathcal{O}(0,-1)$ 's,

$$\text{hence } \delta : H^0(\text{Sym}^2 W \otimes \mathcal{O}) \xrightarrow{\sim} H^1(S_1) \quad \text{is an iso.}$$

Next, consider the other short exact sequence at top....

# Review of quantum sheaf cohomology

Example: classical sheaf cohomology on  $\mathbb{P}^1 \times \mathbb{P}^1$

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

Break into short exact sequences:

$$0 \rightarrow S_1 \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

$$\delta : H^0(\text{Sym}^2 W \otimes \mathcal{O}) \xrightarrow{\sim} H^1(S_1)$$

Examine other sequence:

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow S_1 \rightarrow 0$$

$$\text{induces } H^1(\wedge^2 Z) \rightarrow H^1(S_1) \xrightarrow{\delta} H^2(\wedge^2 E^*) \rightarrow H^2(\wedge^2 Z) \rightarrow 0$$

Since  $Z$  is a sum of  $\mathcal{O}(-1,0)$ 's,  $\mathcal{O}(0,-1)$ 's,

$$H^2(\wedge^2 Z) = 0 \quad \text{but} \quad H^1(\wedge^2 Z) = \mathbb{C} \oplus \mathbb{C}$$

and so  $\delta : H^1(S_1) \rightarrow H^2(\wedge^2 E^*)$  has a 2d kernel.

Now, assemble the coboundary maps....

# Review of quantum sheaf cohomology

Example: classical sheaf cohomology on  $\mathbb{P}^1 \times \mathbb{P}^1$

$$0 \rightarrow \wedge^2 E^* \rightarrow \wedge^2 Z \rightarrow Z \otimes W \rightarrow \text{Sym}^2 W \otimes \mathcal{O} \rightarrow 0$$

Now, assemble the coboundary maps.....

A classical (2-pt) correlation function is computed as

$$H^0(\text{Sym}^2 W \otimes \mathcal{O}) \xrightarrow{\tilde{\delta}} H^1(S_1) \xrightarrow{\delta} H^2(\wedge^2 E^*)$$

where the right map has a 2d kernel, which one can show is generated by

$$\det(A\psi + B\tilde{\psi}), \quad \det(C\psi + D\tilde{\psi})$$

where  $A, B, C, D$  are four matrices defining the def'  $E$ ,  
and  $\psi, \tilde{\psi}$  correspond to elements of a basis for  $W$ .

Classical sheaf cohomology ring:

$$\mathbb{C}[\psi, \tilde{\psi}] / (\det(A\psi + B\tilde{\psi}), \det(C\psi + D\tilde{\psi}))$$



# Review of quantum sheaf cohomology

Quantum sheaf cohomology

= OPE ring of the  $A/2$  model

Instanton sectors have the same form,  
except  $X$  replaced by moduli space  $M$  of instantons,  
 $E$  replaced by induced sheaf  $F$  over moduli space  $M$ .

Must compactify  $M$ ,  
and extend  $F$  over compactification divisor.

$$\left. \begin{array}{l} \wedge^{\text{top}} E^* \cong K_X \\ \text{ch}_2(E) = \text{ch}_2(TX) \end{array} \right\} \xRightarrow{\text{GRR}} \wedge^{\text{top}} F^* \cong K_M$$

Within any one sector, can follow the same method just outlined....

# Review of quantum sheaf cohomology

In the case of our example,  
one can show that in a sector of instanton degree  $(a,b)$ ,  
the 'classical' ring in that sector is of the form

$$\text{Sym}^{\bullet} W / (Q^{a+1}, \tilde{Q}^{b+1})$$

where  $Q = \det(A\psi + B\tilde{\psi}), \quad \tilde{Q} = \det(C\psi + D\tilde{\psi})$

Now, OPE's can relate correlation functions in different instanton degrees, and so, should map ideals to ideals.

To be compatible with those ideals,

$$\langle \mathcal{O} \rangle_{a,b} = q^{a'-a} \tilde{q}^{b'-b} \langle \mathcal{O} Q^{a'-a} \tilde{Q}^{b'-b} \rangle_{a',b'}$$

for some constants  $q, \tilde{q} \Rightarrow$  OPE's  $Q = q, \quad \tilde{Q} = \tilde{q}$

— quantum sheaf cohomology rel'ns

# Review of quantum sheaf cohomology

General result:

(Donagi, Guffin, Katz, ES, '11)

For any toric variety, and any def'  $E$  of its tangent bundle,

$$0 \rightarrow W^* \otimes \mathcal{O} \rightarrow \underbrace{\bigoplus \mathcal{O}(\vec{q}_i)}_{Z^*} \rightarrow E \rightarrow 0$$

the chiral ring is

$$\prod_{\alpha} (\det M_{(\alpha)})^{Q_{\alpha}^a} = q_a$$

where the  $M$ 's are matrices of chiral operators built from  $*$ .

# Review of quantum sheaf cohomology

So far, I've outlined mathematical computations of quantum sheaf cohomology, but GLSM-based methods also exist:

- Quantum cohomology ( (2,2) ): Morrison-Plesser '94
- Quantum sheaf cohomology ( (0,2) ): McOrist-Melnikov '07, '08

Briefly, for (0,2) case:

One computes quantum corrections to effective action of form

$$L_{\text{eff}} = \int d\theta^+ \sum_a Y_a \log \left[ \prod_{\alpha} (\det M_{(\alpha)})^{Q_{\alpha}^a} / q_a \right]$$

from which one derives  $\prod_{\alpha} (\det M_{(\alpha)})^{Q_{\alpha}^a} = q_a$

— these are q.s.c. rel'ns

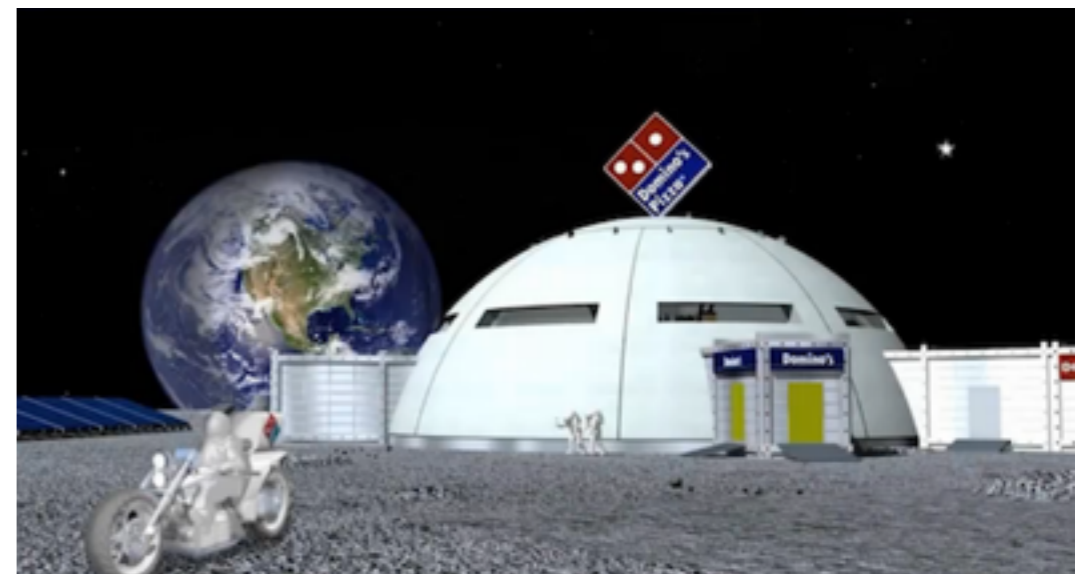
— match math' computations



# Long-term

More general constructions of  $(0,2)$  mirrors, & related duals,  
as current methods are limited

Generalize quantum sheaf cohomology computations to  
arbitrary compact Calabi-Yau manifolds



# Generalize quantum sheaf cohomology...

State of the art: computations on toric varieties

To do: compact CY's

Intermediate step: Grassmannians (work in progress)

Briefly, what we need are better computational methods.

Conventional GW tricks seem to revolve around idea that  $A$  model is independent of complex structure, not necessarily true for  $A/2$ .

- [McOrist-Melnikov '08](#) have argued an analogue for  $A/2$
- Despite attempts to check ([Garavuso-ES '13](#)), still not well-understood

# Mathematics

## Geometry:

Gromov-Witten  
Donaldson-Thomas  
quantum cohomology  
etc



# Physics

Supersymmetric,  
topological  
quantum  
field theories



## Homotopy, categories:

derived categories  
stacks  
derived spaces  
categorical equivalence

D-branes  
gauge theories  
sigma models w/ potential  
renormalization group flow