

# Generalized Fourier-Mukai Transform in Heterotic String Theory/F-theory

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# Introduction

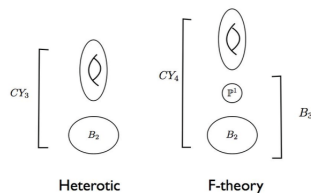
To study the Heterotic string compactifications we should describe gauge fields (vector bundles) over elliptically fibered Calabi-Yau manifolds.

- Most of the toric and CICY Calabi-Yau threefolds are elliptically fibered.

Huang, Taylor, 2019

Anderson, Gao, Gray, Lee, 2017

- The basic duality is between Heterotic on  $T^2$  and F-theory on an elliptically fibered  $K3$ .



# Spectral cover construction on elliptically fibered Calabi-Yau:

Let  $\pi : X \rightarrow B$  be a Weierstrass elliptic fibration, and  $V$  be a stable, degree zero, locally free sheaf (vector bundle) on  $X$ ,

- The restriction of  $V$  on a generic (smooth) fiber of  $\pi$  is S-equivalent to

Atiyah, 57

$$V|_{E_b} \sim \bigoplus_{i=1}^n \mathcal{O}_{E_b}(p_i - \sigma). \quad (1)$$

$p_i$ 's define the spectral cover  $S$ .

FMW, 97

- $V$  can be reconstructed as

$$V = \pi_{1*}(\pi_2^* \mathcal{L} \otimes \mathcal{P}^*), \quad (2)$$

$$\pi_{1,2} : X \times_B X \rightarrow X, \quad \mathcal{P} = \mathcal{I}_\Delta \otimes \pi_1^* \mathcal{O}_X(\sigma) \otimes \pi_2^* \mathcal{O}_X(\sigma) \otimes K_B^*.$$

- Formally, one defines a functor,

$$\Phi : D^b(X) \rightarrow D^b(X), \quad (3)$$

$$\Phi(V) = R\pi_{2*}(\pi_1^* V \otimes \mathcal{P}). \quad (4)$$

- Since  $V$  is (semi)stable, degree zero,

$$\Phi(V) = i_{S*}\mathcal{L}[-1]. \quad (5)$$

- By comparing  $Ch(\Phi(V))$  and  $Ch(i_{S*}\mathcal{L})$ , and making some assumptions about  $Pic(S)$ , it is possible to derive topological constraints on  $V$ .

Anderson, Gao, MK, 2019

FMW, 97

Andreas, Curio, Ruiperez, Yau, 2000

- Fourier-Mukai gives a one-to-one correspondence,

BBRH, 2008

$$V \leftrightarrow (\mathcal{L}, S), \quad (6)$$

and preserves semistability.

- FM transform can be used to derive constraints for small instanton transitions. Specially in 4D, M5 branes that wrap the fiber or a curve in base  $B$ , can get absorbed or emitted by the end of the world branes.

Ovrut, Pantev, Park, 2000

- Using the data  $(\mathcal{L}, S, X)$  we can find the F-theory dual, in particular in 4D, FMW, 97

$$Def(S) \longleftrightarrow Def(X_F), \quad (7)$$

$$H^{1,1}(S) \longleftrightarrow H^{2,2}(X_F), \quad (8)$$

$$J(S) \longleftrightarrow IJ(X_F). \quad (9)$$

- In stable degeneration limit,  $(\mathcal{L}, S)$  maps to the spectral data  $(\mathcal{L}_F, S_F)$  embedded in  $P^1$  fibration over singular locus corresponding to the Higgs bundle inside the 7 – branes. Donagi, Wijnholt, 2008

Beasley, Heckman, Vafa, 2008

# Limitations

The calculations in FMW are based on many assumptions, that limit their validity.  $S$  must smooth and generic, divisors on  $S$  are induced from the ambient space, and  $X$  is given Weierstrass model. However,

- If  $S$  is reducible,

Anderson, Gao, MK, 2019

$$S = S_1 \cup_{\Sigma} S_2, \quad (10)$$

and  $\mathcal{L}$  a general coherent sheaf on (of rank 1)  $S$ ,  $Ch(V)$  will be inconsistent with what has been derived in FMW. Deformations are obstructed.

- In GLSM's  $V$  is defined by a monad,

Anderson, Gao, MK, 2019

$$0 \longrightarrow V \longrightarrow \mathcal{H} \longrightarrow \mathcal{N} \longrightarrow 0, \quad (11)$$

Generally, the spectral sheaf of such bundles are supported over non-reduced schemes. So FMW's analysis is not sufficient for many Heterotic Pheno models.

- By deforming  $S$ ,  $NS(S)$  can jump.

Curio, 2012

# Elliptically fibered Calabi-Yau with $SU(n)$ exceptional divisor

- $X$  doesn't need to be Weierstrass model. Assume,

$$E_1, E_2, \dots, E_{n-1} \quad (12)$$

are exceptional divisors corresponding to the  $SU(n)$  Cartan.

- Since  $X$  has a (holomorphic section) section  $\sigma$ , the “Poincare sheaf” defined as,

Caldararu, 98

$$\mathcal{P} = \mathcal{I}_\Delta \otimes \pi_1^* \mathcal{O}_X(\sigma) \otimes \pi_2^* \mathcal{O}_X(\sigma), \quad (13)$$

- The functor  $\Phi$  defined as before is still Fourier-Mukai. And can be used to find  $Ch(V)$ . In particular,  $E_i$  can induce  $(-2)$ -curves inside  $S$  (e), and they contribute as (For  $SU(2)$  singularity and  $B = \mathbb{P}^2$ )

$$c_1(\mathcal{L}) \rightarrow c_1(\mathcal{L}) + \beta e, \quad (14)$$

$$c_2(V) \rightarrow c_2(V) + \beta^2 f - \beta D \cdot E, \quad (15)$$

$$c_3(V) \rightarrow c_3(V). \quad (16)$$



- To find F-theory dual, we need to go to a limit where  $vol(f) \gg vol(E_i \cdot f)$ , i.e. practically we need to blow down  $E_i$ ,

$$\begin{array}{ccc} X & \xrightarrow{\rho} & X_{sing} \\ & \searrow \dots & \downarrow \\ & & X_F \end{array} \quad (17)$$

But there is no FM functor between  $D^b(X)$  and  $D^b(X_{sing})$ , so it's not correct to define the spectral data  $(\mathcal{L}, S)$  in the singular limit.

# Small instanton transitions

- There are new possibilities for small instanton transitions, for example if

$$[c_1] = D \cdot (D - E), \quad (18)$$

$$0 \longrightarrow \tilde{V} \longrightarrow V \longrightarrow i_{c_1^*} \mathcal{O}_{c_1}(-1) \longrightarrow 0 \quad (19)$$

Corresponds to absorption of an  $M5$  brane wraps on  $[c_1]$ .

Anderson, Gao, MK, 2019

- Contrary to older analysis, neither gauge or chirality of the effective theory changes.

- Assume  $\pi : X \rightarrow B$  is an elliptically fibered Calabi-Yau, with  $rk(MW) \neq 0$ . Let  $\sigma$  to be zero section and  $S_i$  be the generators.
- If  $\sigma$  is holomorphic, the Poincare sheaf that defined as before will be well defined and  $\Phi$  will be Fourier-Mukai.
- We get new formulas for  $Ch(V)$ , no new contribution in chirality of EFT.
- If  $\sigma$  is rational then the Poincare sheaf is not well defined. We didn't find a canonical way to deal with this problem.

# Possible contribution in EFT's chirality

- If we can  $X$  admits a birational transformation (a flop in particular),

$$\begin{array}{ccc} & \tilde{X} & \\ & \swarrow \quad \searrow & \\ X & & X' \end{array} \quad \begin{array}{l} p \\ q \end{array} \quad (20)$$

Then  $Rq_*Lp^* : D^b(X) \rightarrow D^b(X')$  is an equivalence.

Bondal, Orlov, 95

- If  $\sigma$  becomes a holomorphic section after the flop, we can define  $\mathcal{P}$  and  $\Phi$  as before for in  $X'$  rather than  $X$ .
- We hoped to see extra contribution to the EFT chirality from the  $(-1)$ -curves inside  $\sigma$  (in  $X$ ). We can show (in case  $S$  is generic and smooth),

Anderson, Gao, MK

$$R\pi_* V = R\pi'_*(\mathcal{L}^\bullet \otimes \mathcal{O}_{\sigma'}) \quad (21)$$

From this it's clear that if  $\mathcal{L}_0 \neq 0$  we have extra contributions to the index of  $V$ , and therefore the chirality in the effective theory.